

07-11-02

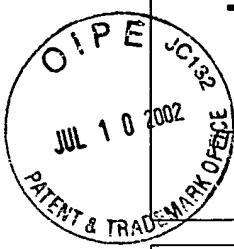
EXPRESS MAIL NO. EV064842967US  
PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → +

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

To be used for all correspondence  
after initial filing

Application Number	10/072,818
Filing Date	February 8, 2002
First Named Inventor	Andrea Graziani
Group Art Unit	
Examiner Name	
Attorney Docket No.	851763.416

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers.. <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input checked="" type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i> <u>Copy of Notice to File Missing Parts</u> <hr/> <hr/>
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## Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Individual Name	Brian L. Johnson	 <b>00500</b> <small>PATENT TRADEMARK OFFICE</small>
Signature		
Date	July 10, 2002	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name		
Signature		Date:



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrea Graziani et al.  
 Application No. : 10/072,818  
 Filed : February 8, 2002  
 For : PROCESS FOR CHANGING THE SYNTAX, RESOLUTION AND  
       BITRATE OF MPEG BITSTREAMS, A SYSTEM AND A  
       COMPUTER PRODUCT THEREFOR

Docket No. : 851763.416  
 Date : July 10, 2002

Box Missing Parts  
 Commissioner for Patents  
 Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated March 13, 2002, please find enclosed the following documents:

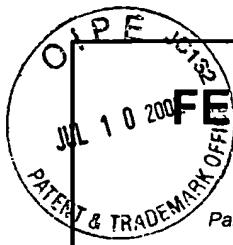
- Fee
- Oath/Declaration
- Copy of Notice to File Missing Parts
- Sheets of Formal Drawings

Respectfully submitted,

Andrea Graziani et al.

Seed Intellectual Property Law Group PLLC

Brian L. Johnson  
 Registration No. 40,033



# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 1,558)

Complete if Known	
Application Number	<b>10/072,818</b>
Filing Date	<b>February 8, 2002</b>
First Named Inventor	<b>Andrea Graziani</b>
Examiner Name	
Group Art Unit	
Attorney Docket No.	<b>851763.416</b>

METHOD OF PAYMENT	
<input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	
<input type="checkbox"/> Deposit Account: Deposit Account Number <b>19-1090</b>	
Deposit Account Name <b>Seed Intellectual Property Law Group PLLC</b>	
The Commissioner is authorized to (check all that apply)	
<input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments	
<input type="checkbox"/> Charge any additional fee(s) during the pendency of this application	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee	
<input checked="" type="checkbox"/> Charge any deficiencies to the above-identified deposit account.	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	

FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity		Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	<b>740</b>
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)				(\$ 740)	
2. EXTRA CLAIM FEES					
Total Claims		Extra Claims	Fee from below	Fee Paid	
36	-20**	= 16	* 18	= 288	
Independent Claims	3	-3**	= 0	* 84	= 0
Multiple Dependent				*	=
3. ADDITIONAL FEES					
Large Entity		Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid ** Reissue independent claims over original patent	
109	84	209	42	** Reissue claims in excess of 20 and over original patent	
110	18	210	9		
SUBTOTAL (2)				(\$ 288)	

\*\*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)					
Large Entity		Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	400
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 530)	

SUBMITTED BY			
Name (Print/Type)	Brian L. Johnson		Registration No. Attorney/Agent
Firm Name/ Address			
Signature			Date July 10, 2002



00500

PATENT TRADEMARK OFFICE

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## UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No. EV064842967US

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/072,818	02/08/2002	Andrea Graziani	851763.416

00500  
 SEED INTELLECTUAL PROPERTY LAW GROUP SPECS  
 701 FIFTH AVE  
 SUITE 6300  
 SEATTLE, WA 98104-7092



CONFIRMATION NO. 3650

## FORMALITIES LETTER



\*OC000000007629323\*

Date Mailed: 03/13/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$288.
  - \$288 for 16 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1158.**

Customer Service Center	Initial Patent Examination Division (703) 308-1202	PART 2 - COPY TO BE RETURNED WITH RESPONSE	07/16/2002 HWARZ711	00000004 10072818	8888
				740 288 130	1158

*A copy of this notice MUST be returned with the reply.**mstrong*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101  
 01 FC:103  
 01 FC:105  
 01 FC:Q3  
 01 FC:Q3